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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) DDM02-065 In Re Application Of: Raymond Eugene Paddock & Mark Clinton Knox Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation No. 10/712,605 Nov 12, 2003 30137 2131 9007 Title: SYSTEM AND METHOD FOR ADMINISTERING PERMISSION FOR USE OF INFORMATION Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within u. three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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| In Re Application: Raymond Eugene Paddock & Mark Clinton Knox  |                               |                      |   |       |      |      |  |  |
| Application No. Filing Date Examiner Customer No. Group Art Unit Confirmation  |                               |                      |   |       |      |      |  |  |
| 10/712,605   | Nov 12, 2003                  |                      |   | 30137 | 2131 | 9007 |  |  |
| Title: SYSTEM AND METHOD FOR ADMINISTERING PERMISSION FOR USE OF INFORMATION   |                               |                      |   |       |      |      |  |  |
| Payment of Fee  (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))  A check in the amount of is attached.  The Director is hereby authorized to charge and credit Deposit Account No. 501562 as described below.  Charge the amount of Credit any overpayment.  Charge any additional fee required.  Payment by credit card. Form PTO-2038 is attached.  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  Certificate of Transmission by Facsimile*  Certificate of Mailing by First Class Mail  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.  (Date)  (Date) |                               |                      |   |       |      |      |  |  |
| Typed or   | Printed Name of Person Sig    | oning Certificate    | Donald D. Mondul  Typed or Printed Name of Person Mailing Certificate |       |      |      |  |  |
| *This certificate may only be used if paying by deposit account.  Donald D. Mondul Reg. No. 29,957 Attorney for Applicants   |                               |                      |   |       |      |      |  |  |

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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| Application Number     | 10/712,605             |  |  |  |  |
| Filing Date            | Nov 12, 2003           |  |  |  |  |
| First Named Inventor   | Raymond Eugene Paddock |  |  |  |  |
| Art Unit               | 2131                   |  |  |  |  |
| Examiner Name          |                        |  |  |  |  |
| Attorney Docket Number | DDM02-065              |  |  |  |  |

| _                    | U.S. PATENT DOCUMENTS |  |                                |  |   |  |  |  |  |
|----------------------|-----------------------|--|--------------------------------|--|---|--|--|--|--|
| Examiner<br>Initials | Cite<br>No.1          | Document Number  Number Kind Code (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |  |  |
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|   | FOREIGN PATENT DOCUMENTS |  |                     |                                 |                  |                             |  |                |  |
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|   |                          | F  | oreign Patent Do    |                                 | Publication Date | Name of Patentee or         | Pages, Columns, Lines,<br>Where Relevant |                |  |
|   | Cite<br>No. <sup>1</sup> | Office <sup>3</sup>                              | Number <sup>4</sup> | Kind <sup>5</sup><br>(if known) | MM-DD-YYYY       | Applicant of Cited Document | Passages or Relevant<br>Figures Appear   | T <sup>6</sup> |  |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| Subset        | te for form 1449    | O     | ISCLOSURE                        | Application Number                   | 10/712,605   |
| INFO          | ORMATION            | N D   | ISCLOSURE                        | Filing Date                          | Nov 12, 2003   |
|               |                     |       | APPLICANT                        | First Named Inventor                 | Raymond Eugene Paddock                               |
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|               | (use as many        | sheet | s as necessary)                  | Examiner Name                        |  |
| Sheet         | 2                   | of    | 2                                | Attorney Docket Number               | DDM02-065  |
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| OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS |              |   |                |  |  |  |
|---|--------------|---|----------------|--|--|--|
| Examiner Initials*                              | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |  |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.